

Promotion of Access to Information Act, 2 of 2000

Compendium Insurance Brokers Zululand (Pty) Ltd FSP 39116

Section 51 Manual

Including request for information, forms and fees

Introduction

This entity – COMPENDIUM INSURANCE BROKERS ZULULAND (PTY) LTD – is an authorised financial services provider that provides financial advice and renders intermediary services to clients on financial products under a licence issued in terms of the Financial Advisory and Intermediary Services Act, Act 37 of 2002.

Details in terms of Section 51 of PAIA Act

Contact details

Name of business	Compendium Insurance Brokers Zululand (Pty) Ltd
Designated contact person	Anthony Collins
Registration Number	2008/004917/07
Physical address	6 Yellowwood Lodge Turnbull Street, Norman Teddar Lane Empangeni 3880
Postal address	P O Box 731 Empangeni 3880
Telephone number	035 772 1726
Fax number	035 772 7732
E-mail address	anthony@cig.co.za

Section 10 Guide on how to use the Act

This guide is available in hard copy from the South African Human Rights Commission or can be accessed on their website. The details are as follows:	
Postal address	The South African Human Rights Commission – PAIA Unit The Research and Documentation Department Private Bag 2700 Houghton 2041
Telephone number	011 877 3600
Fax number	See SAHRC website for regional office fax numbers
E-mail address	paia@sahrc.org.za
Website	www.sahrc.org.za

Records available in terms of any other legislation

Basic Conditions of Employment Act No. 75 of 1997
Collective Investments Schemes Control Act No. 45 of 2002
Companies Act No. 71 of 2008
Compensation for Occupational Injuries and Health Diseases Act No. 130 of 1993
Consumer Protection Act No. 68 of 2008
Employment Equity Act No.55 of 1998
Financial Advisory and Intermediary Services Act No. 37 of 2002
Financial Intelligence Centre Act No. 38 of 2001
Financial Institutions (Protection of Funds) Act No. 28 of 2001
Financial Services Board Act No. 97 of 1990
Financial Services Ombud Schemes Act No. 37 of 2004
Friendly Societies Act No. 25 of 1956
Income Tax Act No. 58 of 1962
Insurance Laws Amendment Act No. 27 of 2008
Labour Relations Act No. 66 of 1995
Long-term Insurance Act No. 52 of 1998
Medical Schemes Act No. 131 of 1998
Occupational Health and Safety Act No. 85 of 1993
Pension Funds Act No. 24 of 1956
Prevention of Organised Crime Act No. 121 of 1998
Protection of Constitutional Democracy against Terrorist and Related Activities Act No. 33 of 2004
Security Services Act No. 36 of 2004
Short Term Insurance Act No. 53 of 1998
Skills Development Act No.97 of 1998
Unemployment Contributions Act No. 4 of 2002
Unemployment Insurance Act No. 63 of 2001
Value Added Tax Act No. 89 of 1991

Access to records held by Compendium Insurance Brokers Zululand (Pty) Ltd

- The latest notice regarding the categories of records of the body, which are available without a person having to request access in terms of section 52 (2) of this Act, and at no cost:

Brochures; Pamphlets; Documents related to business activities

- Records which may be requested in terms of this Act:

<p>Administration:</p> <ul style="list-style-type: none">○ Licence of product categories○ Minutes of management meetings○ Minutes of staff meetings○ Correspondence
<p>Human Resources:</p> <ul style="list-style-type: none">○ Employment contracts○ Mandates○ Policies and procedures○ Training○ Remuneration and benefits policies, and records thereof
<p>Operations:</p> <ul style="list-style-type: none">○ Production records○ Compliance manual – FAIS○ Compliance reports○ Complaints procedures○ Contractual agreements with suppliers○ Procedures manual - FICA○ Records of advice○ Register of key individuals○ Register of representatives○ Register of non-compliance○ Record of continued compliance by representatives○ Register of premature cancellation of products○ Clients register
<p>Finances:</p> <ul style="list-style-type: none">○ Accounting and audit records○ Financial statements○ Assets inventory



The request procedure is as follows:

Form of request – Annexure A:

- The requester must use the prescribed form to make the request for access to a record. This must be made to the head of the private body. This request must be made to the address, fax number or electronic mail address of the body concerned.
- The requester must provide sufficient detail on the request form to enable the head of the private body to identify the record and the requester. The requester should also indicate which form of access is required. The requester should also indicate if any other manner is to be used to inform the requester and state the necessary particulars to be so informed.
- The requester must identify the right that is sought to be exercised or to be protected and provide an explanation of why the requested record is required for the exercise or protection of that right.
- If a request is made on behalf of another person, the requester must then submit proof of the capacity in which the requester is making the request to the satisfaction of the head of the private body.

Fees payable for request – Annexure B:

- A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:
- The head of the private body must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request.
- The fee that the requester must pay to a private body is R50. The requester may lodge an application to the court against the tender or payment of the request fee.
- After the head of the private body has made a decision on the request, the requester must be notified in the required form.
- If the request is granted then a further access fee must be paid for the search, reproduction, preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure.

Other information as may be prescribed

The Minister of Justice and Constitutional Development has not made any regulations in this regard.

Availability of the PAIA Manual

The manual is available for inspection, free of charge at the offices of Compendium Insurance Brokers Zululand (Pty) Ltd. Copies are also available at the SAHRC and on the website of Compendium Insurance Brokers Durban (Pty) Ltd at www.cig.co.za.

ANNEXURE A TO INFORMATION MANUAL
FORM FOR REQUEST FOR ACCESS TO A RECORD OF
COMPENDIUM INSURANCE BROKERS ZULULAND (PTY) LTD

REQUEST FOR ACCESS TO RECORD OF A PRIVATE BODY

Section 53(1) of the Promotion of Access to Information Act, 2000 (Act No 2 of 2000)

(Regulation 4)

Particulars of private body

Postal Address	Physical Address
<i>The Information Officer</i>	6 Yellowwood Lodge, Turnbull Street, Norman Teddar Lane
PO Box 731	Empangeni, 3880
Empangeni	Tel: 035 772 1726
3880	Fax: 035 772 7732
	E-mail: anthony@cig.co.za
	Website: www.cig.co.za

Particulars of person requesting access to the record

a) The particulars of the person who requests access to the records must be recorded below.		
b) Furnish an address and/or fax number in the Republic to which information must be sent.		
c) Proof of the capacity in which the request is made, if applicable, must be attached.		
Full names and surname		
Identity number		
Postal address		
Telephone number	Fax number	
E-mail address		
Capacity in which request is made, when made on behalf of another person		

A. Particulars of person on whose behalf request is made

This section must be completed only if a request for information is made on behalf of another person.	
Full names and surname	
Identity number	

B. Particulars of record

a)	Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located.
b)	If the provided space is inadequate please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.

1.	Description of record or relevant part of the record:
2.	Reference number, if available:
3.	Any further particulars of record:

Fees

a)	A request for access to a record, other than a record containing personal information about yourself, will be processed only after a request fee has been paid.
b)	You will be notified of the amount required to be paid as the request fee.
c)	The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
d)	If you qualify for exemption of the payment of any fee, please state the reason therefore.
Reason for exemption from payment of fees	

Form of access to record

If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 hereunder, state your disability and indicate in which form the record is required.	
Disability	Form in which record is required

Mark the appropriate box with an "X"

NOTES:

- a) Your indication as to the required form of access depends on the form in which the record is available.
- b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form.
- c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.

1. If the record is in written or printed form:

<input type="checkbox"/> Copy of record*	<input type="checkbox"/> Inspection of record
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2. If record consists of visual images: (this includes photographs, slides, video recordings, computer-generated images, sketches, etc.)

<input type="checkbox"/> View the images	<input type="checkbox"/> Copy of the images*	<input type="checkbox"/> Transcription of the images*
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3. If record consists of recorded words or information which can be reproduced in sound:

<input type="checkbox"/> Listen to the soundtrack (audio cassette)	<input type="checkbox"/> Transcription of soundtrack* (written or printed document)
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4. If record is held on computer or in an electronic or machine-readable form:

<input type="checkbox"/> Printed copy of record	<input type="checkbox"/> Printed copy of information derived from the record*	<input type="checkbox"/> Copy in computer readable form* (flash or compact disc)
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*If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you? A postal fee is payable.	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
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C. Particulars of right to be exercised or protected:

If the provided space is inadequate please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.

Indicate which right is to be exercised or protected

1. Explain why the requested record is required for the exercising or protection of the aforementioned right

D. Notice of decision regarding request for access:

You will be notified in writing whether your request has been approved or denied. If you wish to be informed thereof in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

How would you prefer to be informed of the decision regarding your request for access to the record?

Signed at _____ this _____ day of _____ 20_____

SIGNATURE OF REQUESTER/PERSON ON
WHOSE BEHALF REQUEST IS MADE